



Guidance Document

Approved Maintenance Compounds

(Non-Dairy) Manual

7 October 2019

Title

Guidance Document: Approved Maintenance Compounds (Non-Dairy) Manual

About this document

This document contains three parts, Part A: Approvals, Part B: Guidance – General, and Part C: Additional Guidance – Specifically for Applicants.

Related Requirements

[Animal Products Act 1999](#)

[Agricultural Compounds and Veterinary Medicines Act 1997](#)

[Food Act 2014](#)

Document history

Version Date	Section Changed	Change(s) Description
July 2007		
October 2019	All	New format and branding General update

Contact Details

Ministry for Primary Industries (MPI)
New Zealand Food Safety
PO Box 2526
Wellington 6140.

Email: animal.products@mpi.govt.nz

Disclaimer

This guidance does not constitute, and should not be regarded as, legal advice. While every effort has been made to ensure the information in this guidance is accurate, the Ministry for Primary Industries does not accept any responsibility or liability whatsoever for any error of fact, omission, interpretation or opinion that may be present, however it may have occurred.

Copyright



Crown copyright ©. This copyright work is licensed under the Creative Commons Attribution 3.0 New Zealand licence. In essence, you are free to copy, distribute and adapt the work, as long as you attribute the work to the Ministry for Primary Industries and abide by the other licence terms. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/3.0/nz/>. Please note that no governmental emblem, logo or Coat of Arms may be used in any way which infringes any provision of the Flags, Emblems, and Names Protection Act 1981 or would infringe such provision if the relevant use occurred within New Zealand. Attribution to the Ministry for Primary Industries should be in written form and not by reproduction of any such emblem, logo or Coat of Arms.

Contents	Page
1 Purpose	3
1.1 Scope and Application	3
1.2 Outcome	4
1.3 General Principles	4
1.4 Documentation	4
1.5 Maintenance Compounds	4
1.6 Trained Personnel	4
1.7 Storage of Maintenance Compounds	5
1.8 Use of Maintenance Compounds	5
1.9 Chemical use during Plant Maintenance	5
2 Background	6
2.1 Animal Products Act Regime	6
3 Definitions	8
4 Part A: Approvals	9
4.1 Compounds with Generic Approval	9
4.2 Compounds that Require Approval	15
4.3 Compounds that do not Require Approval	16
4.4 Status of Overseas Approval	18
4.5 Chemical Compound Categories	19
5 Part B: Guidance – General	43
5.1 Approvals	43
6 Part C: Additional Guidance – Specifically for Applicants	45
6.1 Chemical Approval Systems in New Zealand	45
6.2 Compounds that Require Specific Approval	45
6.3 Disinfectants for Foot-and-Mouth Disease Response	50
6.4 Criteria for Disinfectants for Foot-and-Mouth Disease	51

1 Purpose

1.1 Scope and Application

This manual only applies to maintenance compounds used by operators processing non-dairy animal products who are operating under the Animal Products Act (APA) regime. Of paramount importance is the protection of edible product from the effects of chemical substances which could contaminate the product as a consequence of odours and residues remaining on food contact surfaces.

Where animal product or material is intended for:

- (1) **human consumption:** all maintenance compounds used must be approved, either uniquely or generically, and used in accordance with the conditions imposed by the Ministry for Primary Industries (MPI) on their use;
- (2) **animal consumption:** all maintenance compounds used must be:
 - a) approved, either uniquely or generically, and used in accordance with both the conditions imposed by the Ministry for Primary Industries (MPI) and the manufacturer's instructions; or
 - b) determined by the operator, by analysis, that the compounds and their intended use will not adversely affect the suitability for processing of animal material, or fitness for intended purpose of the animal product, and used in accordance with the manufacturer's instructions.

Where an operator processes product or material intended for animal consumption and elects to use approved maintenance compounds they must comply with the relevant parts of this manual.

Any approved maintenance compound required during any corrective action or maintenance activity must be used according to the conditions of its approval. When processing in an area has ceased for routine or programmed maintenance the requirement to use approved maintenance compounds no longer applies. Once the routine or programmed maintenance has been completed the affected parts of the room and affected equipment must be subjected to wet cleaning to remove chemical residues followed by a pre-operation hygiene check before processing re-commences.

Use of maintenance compounds / cleaning chemicals in other food processing situations in New Zealand

Animal Products Act (APA) – dairy processing

The only operators processing dairy animal products, under the APA, who are required to use approved maintenance compounds are farm dairies. A list of approved maintenance compounds is available at [Registers & Lists – Dairy Products](#).

All other operators processing dairy animal products, under the APA, are not required to use approved maintenance compounds. These operators must determine that the maintenance compounds and their intended use will not adversely affect the suitability for processing of animal material, or fitness for intended purpose of the animal product. Some operators voluntarily elect to use AsureQuality reviews of maintenance compounds to assist with determining the acceptability of compounds. It should be noted that the MPI farm dairies approvals are underpinned by these reviews but they do not have any legal standing in their own right.

Where an operator is undertaking both dairy and non-dairy processing in the same area the requirements relating to non-dairy, as outlined above, apply. In general, this would mean the use of approved maintenance compounds.

[Approved and Recognised Dairy Maintenance Compounds](#)
[AsureQuality Assessed Products](#)

Food Act

Operators processing food under the Food Act, are not required to use approved maintenance compounds / chemicals. These operators must determine that the maintenance compounds / chemicals that they use will not adversely affect the food being processed. Some operators voluntarily elect to use APA (Non-dairy) approvals to assist with determining the acceptability of compounds.

Other legislation

This manual may assist approval holders and operators meet other legislative requirements relating to approved maintenance compounds.

Approval holders and operators are responsible for ensuring that they are familiar with and comply with other legislation relevant to approved maintenance compounds. This includes, but is not limited to, the following Acts, and their associated regulations and specifications:

- [Hazardous Substances and New Organisms Act 1996](#), e.g. approved handler certificates;
- [Health and Safety at Work Act 2015](#), e.g. label statements and storage requirements.

1.2 Outcome

The use of maintenance compounds by an operator must not affect the suitability for processing of animal material, or fitness for intended purpose of the animal product, whether for human or animal consumption.

1.3 General Principles

Maintenance compounds must be handled in such a manner that the suitability for processing of animal material, the fitness for intended purpose of the animal product, the processing environment, equipment or personnel is not adversely affected.

1.4 Documentation

The operator must document the procedures for the handling of maintenance compounds that are appropriate to the particular operation. The procedures must provide for a person who is trained in the use of maintenance compounds, an inventory of compounds and the management of maintenance compounds so that animal material or product for human or animal consumption, and the processing environment do not become contaminated.

1.5 Maintenance Compounds

All maintenance compounds used by an operator must be clearly labelled and listed in an inventory. The name of any approved maintenance compound present on the premises must correspond to a name that appears in the lists in the Approved Maintenance Compounds Manual or in a current letter of approval.

1.6 Trained Personnel

The access, handling and use of chemical compounds must be under the supervision of trained personnel.

1.7 Storage of Maintenance Compounds

1.7.1 General requirement

Maintenance compounds must be kept in closed containers in dedicated facilities and a sufficient distance from animal material, product, packaging material and ingredients so that there can be no possibility of contamination.

1.7.2 Labelling

All containers of maintenance compounds must be labelled. If bulk maintenance compound supplies are transferred to smaller containers for immediate use, the name of the maintenance compound as listed in this manual or in a current letter of approval must appear on the container.

1.7.3 Storage areas

Maintenance compound storage areas must be kept dry and must be maintained in a clean condition.

1.7.4 Maintenance compounds in use

Maintenance compounds may be held in food support facilities in quantities necessary for immediate use. Containers of maintenance compounds must be closed immediately after use.

1.8 Use of Maintenance Compounds

1.8.1 Conditions of use

Maintenance compounds must only be used according to the directions of the manufacturer and subject to the conditions of the approval.

1.8.2 Directions for use

The directions for use must be available at the point where the compound is used. If the directions do not appear on the label, the product information data sheets must be available to the person who is using the compound.

1.9 Chemical use during Plant Maintenance

Any approved maintenance compound required during any corrective action or maintenance activity must be used according to the conditions of its approval.

When processing in an area has ceased for routine or programmed maintenance the requirement to use approved maintenance compounds no longer applies. Once the routine or programmed maintenance has been completed the affected parts of the room and affected equipment must be subjected to wet cleaning to remove chemical residues, followed by a pre-operation hygiene check before processing re-commences.

2 Background

This manual describes the types of compounds that require specific evaluation and approval, the process of obtaining approval, the conditions under which approval will be given and the current list of approved compounds.

The parts of this manual relating to lists of approved maintenance compounds are amended in November each year.

For further information please contact:

Approved Maintenance Compounds (Non-Dairy)
C/o Approvals Operations Group
New Zealand Food Safety
Ministry for Primary Industries
147 Lambton Quay
TSB Tower
PO Box 2526
Wellington 6140

Telephone: 04 894 2550

Email: approvals@mpi.govt.nz

2.1 Animal Products Act Regime

Under the Animal Products Act regime compounds approved for use are known as “approved maintenance compounds”.

The process of approval involves a chemical or compound being approved pursuant to:

- [Animal Products \(Specifications for Limited Processing Fishing Vessels\) Notice 2005](#), clause 4(1);
- [Animal Products Notice: Specifications for Products Intended for Animal Consumption](#), clause 1.2(1); and
- [Animal Products Notice: Specifications for Products Intended for Human Consumption](#), clause 1.2(1)

as an “approved maintenance compound”.

When a maintenance compound is approved, conditions on its use are also imposed, pursuant to:

- Regulation 11(4)(b) of the [Animal Products Regulations 2000](#); and
- Regulation 18(4)(b) of the [Animal Products \(Regulated Control Scheme - Limited Processing Fishing Vessels\) Regulations 2001](#).

Under the Animal Products (Regulated Control Scheme - Limited Processing Fishing Vessels) Regulations 2001 a "maintenance compound" is defined as, in relation to any limited processing fishing vessel where fish material or fish product is processed, any substance –

- a) used for maintaining, repairing, servicing, cleaning, or sanitising equipment or surfaces that may be the source of, or result in, contamination of fish material, fish product, or associated things;
- b) used for treating water; or
- c) used for pest control.

While under the Animal Products Regulations 2000 a "maintenance compound" is defined as, in relation to any premises or place where animal material or animal product is processed, any substance–

- a) used for maintaining, repairing, servicing, cleaning, or sanitising equipment or surfaces that may be the source of, or result in, contamination of animal material, animal product, or associated things;
- b) used for treating water; or
- c) used for pest control.

Maintenance compounds are individually approved, with associated conditions on use, via an approval letter. To simplify this system all relevant information, including a searchable database of all individually approved maintenance compounds generically approved maintenance compounds and approval codes, has been consolidated into this manual.

3 Definitions

CAS number means the unique numerical identifier assigned to the chemical name by the Chemical Abstracts Service number

edible means animal material or product that is intended for:

- a) human consumption; or
- b) animal consumption, where an operator elects to use approved maintenance compounds.

food means animal material or product that is intended for human or animal consumption

food contact surface means surface contact with food is intended or anticipated

human or animal consumption, used in relation to any animal product, means that the product is intended to be eaten, or taken orally, or administered parentally, or applied topically

separation means physically, by distance, or by time

- a) **physically** means to separate by floor to ceiling solid walls and doors, or to fully protect product / by-product by pipelines, enclosed vats, etc.;
- b) **by distance** means to separate to such an extent so as to avoid any possible contact, splash, contamination, etc., between specific functions, processes or personnel; and
- c) **by time** means to end one function or process prior to starting a different function or process, with a cleaning operation in between

topical means applying a substance externally to a part of the body of a human or animal

and **topically** has a corresponding meaning

4 Part A: Approvals

4.1 Compounds with Generic Approval

The maintenance compounds that are identified in the following sub-sections (4.1.2 to 4.1.9) have generic approval and may be used in premises registered or approved under the Animal Products Act regime according to the general rules applicable to each sub-section.

Generic approval for processing aids only applies when used as such. When used for other purposes, processing aids require additional approval. The following substances must be of an appropriate quality and must be used in accordance with the conditions for approval relevant to the use of the substances.

4.1.1 Labelling

The labelling of all of the chemicals listed in these sub-sections must prominently display the chemical name. Trade names may be used in addition to the chemical name. Products so identified are not permitted to contain additional substances.

The label may include a statement to the effect that the product is approved for use in non-dairy premises registered or approved under the Animal Products Act regime. Any statements made, however, must include reference to the relevant sub-section and must be limited to the following unless otherwise specified:

- MPI Approved (Generic, <sub-section>) (All animal product except dairy); or
- Ministry for Primary Industries Approved (Generic, <sub-section>) (All animal product except dairy).

For example, for the use of calcium chloride, as a production aid – all areas, the statement would be either:

- MPI Approved (Generic, 4.1.2) (All animal product except dairy); or
- Ministry for Primary Industries Approved (Generic, 4.1.2) (All animal product except dairy).

4.1.2 Production Aids – All areas

The chemicals listed in this sub-section may be used in areas where animal material or product is processed for human or animal consumption either as is, or as an appropriate solution. When used in human consumption areas, all human consumption product and packaging material must be removed from the room. Food contact surfaces which have been treated with these substances must be thoroughly washed to remove all residues then rinsed with potable water.

Chemical Name	CAS Number
Calcium chloride	10043-52-4
Carbon dioxide [as dry ice]	124-38-9
Citric acid	77-92-9
Ethanol	64-17-5
Formaldehyde [as a fumigant only]	50-00-0
Fish oil	N/A
Hydrochloric acid	7647-01-0
Hydrogen peroxide	7722-84-1
Manganese sulphate	7785-87-7

Chemical Name	CAS Number
Methanol (methylated spirits)	67-56-1
Nitric acid	7697-37-2
Phosphoric acid	7664-38-2
Potassium permanganate [as a fumigant only]	7722-64-7
Sodium bicarbonate	144-55-8
Sodium carbonate [soda ash]	497-19-8
Sodium chloride	7647-14-5
Sodium citrate	68-04-2
Sodium hexametaphosphate	10124-56-8
Sodium hydroxide [caustic soda]	1310-73-2
Sodium metabisulphate	7681-57-4
Sodium metasilicate [anhydrous and pentahydrate]	6834-92-0
Sodium perborate	7632-04-4
Sodium phosphate [mono, di and tribasic]	10361-03-2
Sodium sulphate [anhydrous]	7757-82-6
Sodium tripolyphosphate	7758-29-4
Sulphuric acid	7664-93-9
Tetrasodium pyrophosphate	7722-88-5
Zinc oxide	1314-13-2

4.1.3 Production Aids – Restricted Use

The chemicals listed in this sub-section must not be used in areas where animal material or product is processed for human consumption. Materials and equipment which have been treated with these chemicals must be thoroughly washed to remove all residues before being used in human consumption areas.

Chemical Name	CAS Number
Kerosine	8008-20-6
Napthalene	91-20-3
Oxalic acid	144-62-7
Paraformaldehyde	30525-89-4
Turpentine	8006-64-2
White spirits	8052-41-3

4.1.4 Production Aids – Special Purpose

Refrigerants

- Ammonia
- Fluorocarbon refrigerants that are not prohibited under the Ozone Layer Protection Act 1996

Antifreeze

The chemicals listed below may be used in areas where animal material or product is processed for human or animal consumption:

Chemical Name	CAS Number
Ethylene glycol	107-21-1
Propylene glycol	57-55-6

When used in areas where animal material or product is processed for human consumption the maintenance compound must be contained within an enclosed heat exchange system. Before any of these areas are exposed to a maintenance compound all animal material or product intended for human consumption and packaging material must be removed from the room. Incidental contamination of food contact surfaces must be thoroughly cleaned, then rinsed with potable water before production starts.

4.1.5 Processing Aids – Restricted Use

Hides and pelts

The following compounds may be used in the processing of the hides and pelts. These compounds must not be used in areas where animal material or product is processed for human consumption.

Chemical Name	CAS Number
Ammonium chloride	12125-02-9
Ammonium sulphate	7783-20-2
Aluminium sulphate	10043-01-3
Boric acid	10043-35-3
Dichlorophen	97-23-4
Hydrated lime	1305-62-0
Sodium borate (borax)	12008-41-2
Sodium hydrosulphide	16721-80-5
Sodium sulphide	1313-82-2

Antioxidants (Tallow, Meat Meal for Animal Consumption and Pet Food) and Defoaming Agents

The use of antioxidants and defoaming agents in animal material or product intended for animal consumption must comply with the relevant requirements under the [Agricultural Compounds and Veterinary Medicines \(ACVM\) Act 1997](#). Feed additives may be added to animal material or product intended for animal consumption if they are substances Generally Recognised as Safe (GRAS). These are listed in the [Generally Recognised as Safe \(GRAS\) register](#), which includes limitations on the use of certain substances.

The follow antioxidants are listed on the GRAS register:

Substance	Limitations
Butylated hydroxyanisole (BHA)	Total content of antioxidants to be < 0.02% fat content of feed

Substance	Limitations
Butylated hydroxytoluene (BHT)	Total content of antioxidants to be < 0.02% fat content of feed
Ethoxyquin	Maximum quantity used and to remain in feed to be < 0.015%
Tocopherols	N/a

The follow defoaming agent is listed on the GRAS register (under the category of 'Emulsifiers, Stabilisers, Thickeners and Gelling Agents'):

Substance	Limitations
Dimethylpolysiloxane	N/a

4.1.6 Processing Aids (Direct Food Contact)

The use of processing aids during the processing of animal material or product for human consumption must be in accordance with the Australia New Zealand Food Standards Code (FSC), especially [Standard 1.3.3 'Processing Aids'](#).

Note: use in accordance with the FCS only relates to compliance with the New Zealand Standard. It is the operator's responsibility to ensure compliance with any relevant overseas market access requirements.

4.1.7 Processing Aids – Incidental Food Contact

Substances identified in this sub-section may be used;

- in solutions for sanitising clean food surfaces, Code C 41 or C 43;
- in solutions treating water supplies, Code C 61; or
- as release agents, Code C 26.

Chemical Name	CAS Number
Aluminium sulphate	10043-01-3
Chlorine	7782-50-5
Chlorine dioxide	10049-04-4
Calcium hypochlorite (hydrated and containing 65% active ingredient)	7778-54-3
Ethyl alcohol	64-17-5
Fish oil	
Isopropyl alcohol	64-63-0
Hydrochloric acid [as buffering agent only, minimum amount to accomplish the technical effect]	7647-01-0
Hydrogen peroxide	7722-84-1
Ozone	10028-15-6
Paraffin oil	8012-95-1
Potassium permanganate	7722-64-7
Sodium carbonate (soda ash)	497-19-8

Chemical Name	CAS Number
Sodium chloride [C 61 only]	7647-14-5
Sodium hydroxide	1310-73-2
Sodium hypochlorite	7681-52-9
Vegetable oils [meeting the standards laid down by the Australia New Zealand Food Standards Code may be used for lubrication purposes without restriction]	68956-68-3

C 43 sanitising solutions

The following substances may be used according to the conditions for code C 43 sanitising solutions, provided the concentration of chlorine does not exceed 200ppm in the case of the chlorinated compounds:

Chemical Name	CAS Number
Chlorine	7782-50-5
Calcium hypochlorite (hydrated and containing 65% active ingredient)	7778-54-3
Ethyl alcohol	64-17-5
Isopropyl alcohol	64-63-0
Hydrochloric acid [as buffering agent only, minimum amount to accomplish the technical effect]	7647-01-0
Hydrogen peroxide, 35% aqueous solution	7722-84-1
Sodium hypochlorite	7681-52-9

C 44 sanitising wipes

The following substances may be added to wipes of an appropriate quality. The resulting wipes may be used according to the conditions for code C 44 sanitising wipes.

Chemical Name	CAS Number
Ethyl alcohol	64-17-5
Isopropyl alcohol	67-63-0

4.1.8 Meat Marking Ink

Criteria

Any meat marking ink that conforms to the following criteria may be used by an operator. These substances do not require evaluation or specific listing.

The formulation of branding and grading inks must comply with the recommendations contained in MIRINZ* Publication 815 or with the specifications for thermo setting inks, MIRINZ 819.

*AgResearch Grasslands
Grasslands Research Centre
Fitzherbert
Palmerston North 4410

Private Bag 11008
Manawatu Mail Centre
Palmerston North 4442

Phone: +64 6 356 8019

The labelling on any container of branding or grading ink must clearly state the name and the colour index number of the dye used and other ingredients. The label must also contain the statement:

This ink is prepared according to the recommendations in MIRINZ 815; or

This ink is prepared according to the specification in MIRINZ 819.

Inks that have been prepared for the branding or grading of carcasses or products must be used in accordance with all relevant legislation.

Dyes

Branding and grading inks may only contain the following dyes:

Dye	Colour Index Number (C.I.)	International Number System (INS)
Allura Red	16035	129
Brilliant Blue FCF	42090	133
Chocolate Brown HT	20285	155
Hexacol Chocolate Brown HT Lake	20285	155
Lynlake Brown HT 620	20285	155
Ponceau 4R	16255	124

Pet Food

Inks for marking pet food may be prepared from the following:

- A black dye, colour index number (CI) 28440, variously named Food Black, Brilliant Black, Permicol Black or Hexacol Black PN; or
- Charcoal; and

any of the solvents and diluents listed below.

The labelling of these inks must contain a list of all constituents.

Solvents and diluents

All meat marking inks may contain any of the following solvents and diluents:

Chemical Name	CAS Number
Ethanol	64-17-5
Ethyl acetate	141-78-6
Edible grades of hardened vegetable fat	

Chemical Name	CAS Number
Glycerol in its mono, di and tri-acetic acid esters	
Hydrogenated castor oil, Sett HR1	8001-78-3
Isopropyl alcohol	67-63-0
Propylene glycol	57-55-6

4.1.9 Condemned Material Stains

The following dyes may be used in the preparation of condemned material stains:

- Brilliant Green FCF;
- Hexacol Green R1891;
- Green Powder Colour QZ 03195;
- Green Powder Colour QZ 03204; and
- Green vegetable dyes.

The following formula would meet USDA requirements for denaturing condemned material:

Quantities	Chemical / Ingredient
1 part	FD & C No3 Green colouring
40 parts	Water
40 parts	Liquid detergent
40 parts	Oil of citronella; Crude carbolic acid; or Cresylic disinfectant

4.2 Compounds that Require Approval

Chemical compounds that require MPI approval include, but are not limited to, all of the following:

- lubricants, solvents and combustion additives for use in any area except for the exemption in the following section;

Note: hydraulic oils and compressor oils must be clearly included as such.

- surface treatments: including on food contact surfaces as either a release agent or as an anti-rust film;
- cleaning compounds;
- sanitising compounds: including for use as a no rinse sanitiser on clean hard surfaces in premises which are restricted only to the processing of fish;
- shell egg cleaning and sanitising compounds;
- topical preparations: including hand washing compounds, hand sanitising compounds, barrier creams, dermatological creams, hand cleaners and body washes;
- water treatment products: including those for potable water, boilers, steam lines (where steam contacts edible products directly or indirectly), cooling systems, drain lines and wastewater recovery systems;
- pesticides (including insecticides) except for the exemption in the following section;
- pre-slaughter stock wash;

- packaging adjuncts (other than cartons or bags) including those intended to and not intended to contact product; e.g. packaging adhesives, oxygen absorbers;
- branding inks; and

Note: section 4.1.8 of this part allows specific dyes and solvents / diluents to be used as meat marking inks and condemned material stains without a formal approval letter as general approval exists regardless of the chemical manufacturer.

- miscellaneous compounds that are used in areas where edible food products are prepared, handled or stored.

4.3 Compounds that do not Require Approval

The following chemical compounds are exempted from requiring MPI approval subject to:

- compliance with all relevant requirements within this manual other than those relating to MPI approval;
- compliance with any specified requirements; and
- maintenance compounds being held in specified areas and only used in those areas.

These exemptions are based on the low possibility of contamination of animal material / animal product as a result of use of the chemical compound in accordance with the directions of use.

The exemptions from requirement to have MPI approval include:

- Chemical compounds used as food additives in animal material / animal product intended for human consumption. The use of these compounds must be in accordance with the [Australia New Zealand Food Standards Code](#) (FSC);

Note: used in accordance with the FSC only related to compliance with the New Zealand Standard. It is the operator's responsibility to ensure compliance with any relevant overseas market access requirements.

- Chemical compounds used as processing aids during the processing of animal material / animal product for human consumption. The use of these compounds must be in accordance with the FSC.

Note: used in accordance with the FSC only related to compliance with the New Zealand Standard. It is the operator's responsibility to ensure compliance with any relevant overseas market access requirements.

- Chemical compounds used as additives in animal material / animal product intended for animal consumption. The use of these compounds must be in accordance with requirements of the [Agricultural Compounds and Veterinary Medicines \(ACVM\) Act 1997](#) regime, especially substances [Generally Recognised as Safe \(GRAS\)](#);
- Chemical compounds used solely in laboratories for analytical and similar use;
- Chemical compounds used solely in offices, such as dusting aids, furniture waxes / polishes, floor waxes / polishes, upholstery cleaners, and window / glass cleaners;
- Compounds present in first aid clinics and first aid kits provided the compounds are:
 - only held in clinics or kits; and
 - used at a sufficient distance from all animal material, product and packaging material so that there can be no possibility of contamination of the animal material, product or packaging material as a result of use;

- Fuel oil, combustion additives and crankcase lubricants used in combustion engines not located within any processing room or any area where products are stored provided the compounds are:
 - in fully labelled containers or bulk tanks clearly identified as such; and
 - not present in areas accessible to production personnel during processing;
- Compounds other than insecticides, pre-slaughter stock wash and stock marking products used solely in holding pens, stock trucks and the like;
- Compounds used during the maintenance of water treatment systems provided the compounds are:
 - subject to discharge of waste and the relevant part of the system is flushed after use and prior to recommencing potable water production; and
 - limited to those that are routinely used in potable water treatment maintenance;
- Compounds used in ion exchange columns during storage provided the compounds are:
 - used in a manner which prevents direct or indirect contamination of products;
 - the relevant part of the system is flushed prior to recommencing production; and
 - limited to those that are routinely used in ion exchange maintenance;
- Non-toxic rodent monitoring baits and lures provided the use of the compounds are:
 - detailed in a vermin control programme which is compliant with relevant MPI legislation;
 - limited to the initial detection of vermin activity prior to the use of pesticide;
 - limited to food areas provided there is no exposed product or food ingredient present in the room; and
 - removed from edible areas before processing operations commence;
- Herbicides provided the compounds are:
 - limited to those that are routinely used in general agricultural activities;
 - only used in external areas and in a manner that prevents contamination of any area where products are processed, packaged or stored;
 - used in accordance with manufacturer's directions; and
 - not present in areas accessible to production personnel during processing;
- Chemical compounds used for the marking of animals including markers, paints and dyes provided the compounds are:
 - limited to those that are routinely used in general agricultural activities;
 - only used in stockyards;
 - only used in sufficient quantity to achieve the desired effect; and
 - not used in a manner likely to result in contamination of the carcass during dressing;
- Marking inks used for the application of information to packaging materials used to cover animal material or animal product (e.g. printing on stockinette bags) provided:
 - it is clearly specified that the resultant printing must be stable and not impart any characteristics on product if used on insert labels or other labels likely to come into contact with food; and
 - inks containing volatile solvents must not be used when products are in the room;
- Building materials including paints and other resinous or polymeric surface coatings;

Note: The current requirement for premises operating under the Animal Products Act regime is that these materials need to meet relevant requirements in the Act, Regulations and Specifications. Materials must meet requirements of [IS2](#).

- Packaging material; and

Note: specific requirements relating to the composition of packaging material and where appropriate, conditions of use are documented in the current versions of [the Animal Products Notice: Specifications for Products Intended for Human Consumption](#) and [Animal Products Notice: Specifications for Products Intended for Animal Consumption](#).

- Packaging gases used in packaging systems, provided the gases must:
 - meet food grade standards; and
 - be used in accordance with good manufacturing practice for the type of packaging system.

4.4 Status of Overseas Approval

MPI carries out an independent assessment of a maintenance compound when determining whether to approve the compound. As a result MPI does not recognise overseas approvals. Two common examples of these are:

4.4.1 NSF (NSF International, the Public Health and Safety Company)

Historically the Food Safety and Inspection Services (FSIS), a branch of the United States Department of Agriculture (USDA), required meat and poultry facilities to use products in and around food processing areas (Non-food Compounds) that had been approved under the USDA authorisation programme. In the late 1990s the USDA ended this programme.

In December of 1999, NSF International launched a voluntary Non-food Compounds Registration Program to re-introduce the previous authorisation programme administered by the USDA.

Although MPI does not recognise NSF approvals, providing details of an NSF approval may help in the assessment process but you will still have to submit a complete application, including full formulation. Operators can demonstrate that they meet the requirements relating to 21 Code of Federal Regulations (CFR) outlined in this manual, with an appropriate NSF approval. The NSF approval codes are set out in the NSF International Registration Guidelines for Proprietary Substances and Nonfood Compounds.

[NSF Non-food Compounds](#)

4.4.2 Australian Government Department of Agriculture, Fisheries and Forestry (DAFF) including the Australian Quarantine and Inspection Service (AQIS)

The AQIS Export Meat Program includes legislation, AQIS Meat Notices and a range of other information. This is available on 'ELMER 3 Electronic Legislation, Manuals and Essential References', which includes:

- information on the chemical guidelines for the acceptance of chemical compounds, which are based on historic USDA requirements mentioned above; and
- chemical compounds used in premises processing or storing meat and meat products for export.

[ELMER 3 Electronic Legislation, Manuals and Essential References](#)

4.5 Chemical Compound Categories

4.5.1 Hierarchy of Approvals

Within the approval criteria described in Section 4.4 there is a hierarchy amongst some of the approval codes. This means that in some cases one approval will have other lesser approval codes imbedded within the approval, e.g. a compound that is C 15 approved may also be used for C 11 and C 13 applications. The key examples that will be of interest to operators are described below.

Maintenance compounds should fit within one of the following categories:

4.5.2 Lubricants, Solvents, Combustion Additives

C 11

- (1) This may be used in edible areas provided it does not come in contact with edible products or packaging material.
- (2) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.
- (3) You can support that you meet these requirements with a H2 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This compound must not have any strong odour or volatile solvent(s); • These approvals are generally only given to gear oil / lubricant type products; • Note: this compound does not need to meet the requirements for C 15 approval, i.e. in relation to the composition complying with the United States Code of Federal Regulations (CFRs); and • The use of this approval is limited due to the potential risk of misuse, as the approval allows the use in processing areas provided it does not come in contact with animal material, animal product or packaging material. As a result compounds should be directed to either C 15 or C 13, as appropriate, in preference C 11.
Allowable approval statement
MPI Approved C 11 (All animal product except dairy)
Mandatory label statements
N/a

C 12

- (1) This may be used in edible areas.
- (2) Before use, all edible product must be removed from the room.
- (3) No edible product is permitted in the room while odours remain.
- (4) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.
- (5) You can support that you meet these requirements with a H1 or K2 approval from NSF.

Requirements
<ul style="list-style-type: none"> • As for C 11 with the addition that volatile solvent(s) are acceptable as the usage criteria state that before use, animal material and product must be removed from the room and no animal material or product is permitted in the room while odours remain; and • The presence of pine oil is acceptable.
Allowable approval statement
MPI Approved C 12 (All animal product except dairy)
Mandatory label statements
N/a

C 13

- (1) This may be used in edible areas.
- (2) The lubricant must be confined in enclosed housings.
- (3) The recognised verifier must be informed in the event of any leakage.
- (4) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.
- (5) You can support that you meet these requirements with an H1 or H2 approval from NSF.

Requirements
<ul style="list-style-type: none"> • Most substances generally used for this purpose would be acceptable. There may be other substances that are not acceptable because of unfavourable toxicology or other consideration. Therefore, each compound will be assessed on its own merit; • These approvals are limited to use in enclosed housings, i.e. gear / hydraulic oil. The directions for use need to reflect this requirement; • The compound may have a strong odorous smell, e.g. sulphurous etc.; • Note: this compound does not need to meet the C 15 approval requirement relating to the composition complying with the United States Code of Federal Regulations (CFRs); and • Full compositional discolour is required. When leakage onto animal product occurs it is necessary to take corrective action including deciding on appropriate product disposition.
Allowable approval statement
MPI Approved C 13 (All animal product except dairy)
Mandatory label statements
N/a

C 14

- (1) This may only be used in non-food areas.

Requirements
<ul style="list-style-type: none"> • Each compound will be assessed on its own merit; and • This compound does not need to meet the requirements for C 11, C 12, C 13 or C 15 approval, e.g. strong odorous compound not intended for use in enclosed housings.

Allowable approval statement
MPI Approved C 14 (All animal product except dairy)
Mandatory label statements
N/a

C 15

- (1) This is permitted to be used during processing of food to lubricate moving parts of equipment whereby the lubricated surface is either an integral part of the food contact surface, or is contiguous with the food contact surface and contamination could result from bearing seal leakage.
- (2) The equipment is to be maintained, including the application of lubricants, according to the specifications of the equipment manufacturer.
- (3) Only sufficient lubricant is to be used to achieve the desired effect.
- (4) When used in the manner permitted, all lubricated surfaces are to be maintained according to requirements for sanitation of food contact surfaces. Where necessary the relevant parts of the equipment must be dismantled to inspect the adequacy of cleaning.
- (5) When used in any other manner that may result in incidental contamination of a food contact surface, the surface is to be cleaned by washing to ensure no free substance remains that could be transferred to food being processed.
- (6) You can support that you meet these requirements with an H1 or H3 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This compound must conform to the compositional requirements of Title 21 Part 178.3570 of the United States Code of Federal Regulations (CFR) (this does not require the lubricant to be approved against this CFR). This includes the use of substances generally recognised as safe (GRAS) for use in food and substances used in accordance with the provisions of a prior sanction or approval (in CFR 21); and • The directions for use must very clearly comply with the conditions of C 15 approval.
Allowable approval statement
MPI Approved C 15 (All animal product except dairy)
Mandatory label statements
N/a

Hierarchy of Approval – Lubricants, Solvents, Combustion Additives

Approval code	Lesser approval codes imbedded within that approval
C 15	C 11, C 13
C 11	C 13
C 13	C 14

4.5.3 Surface Treatments

C 21

- (1) This may be used in edible areas provided it does not come in contact with edible products or packaging material.
- (2) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.
- (3) You can support that you meet these requirements with an R1 approval from NSF.

Requirements
<ul style="list-style-type: none"> • The compound must not have any strong odour or volatile solvent(s); • This compound is only used for maintenance, potentially on a routine basis; and • The use of this approval is limited due to the potential risk of misuse as the approval allows the use in processing areas provided it does not come in contact with animal material, animal products or packaging material. As a result compounds should be directed to either C 26 or C 23, in preference of C 21.
Allowable approval statement
MPI Approved C 21 (All animal product except dairy)
Mandatory label statements
N/a

C 22

- (1) This may be used in edible areas.
- (2) Before use, all edible product must be removed from the room.
- (3) No edible product is permitted in the room while odours remain.
- (4) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.

Requirements
<ul style="list-style-type: none"> • As for C 21 with the addition that volatile solvent(s) are acceptable as the usage criteria state that before use, animal material and product must be removed from the room and no animal material or product is permitted in the room while odours remain.
Allowable approval statement
MPI Approved C 22 (All animal product except dairy)
Mandatory label statements
N/a

C 23

- (1) This may be used in edible areas.
- (2) Before use, all edible product must be removed from the room.

- (3) No edible product is permitted in the room until all treated surfaces have been adequately protected with an approved coating.
- (4) Where incidental contact with the food contact surface occurs, the surface must be thoroughly cleaned and washed to ensure that no residue remains.

Requirements
<ul style="list-style-type: none"> • The compound may have a strong odorous smell or volatile solvent(s); • This compound is only used for maintenance, potentially on a routine basis; • These approvals are limited to where surfaces treated with this compound are adequately protected with an approved coating; and • These approvals are generally given to zinc treatments, hydrofluoric acid etc. where paint is used as the approved coating.
Allowable approval statement
MPI Approved C 23 (All animal product except dairy)
Mandatory label statements
N/a

C 24

- (1) This is permitted for marking animals.
- (2) It may only be used in stockyards.
- (3) It must not be used in a manner likely to contaminate the carcass during dressing.

Requirements
<ul style="list-style-type: none"> • This compound is only used for marking animals in the stockyards; and • Confirm that the compound has relevant Agricultural Compounds and Veterinary Medicines Act approval, where necessary.
Allowable approval statement
MPI Approved C 24 (All animal product except dairy)
Mandatory label statements
N/a

C 25

- (1) This may not be used in areas where edible products are processed or stored.

Requirements
<ul style="list-style-type: none"> • This compound does not meet the requirements for C 21, C 22, C 23 or C 26 approval, e.g. a zinc treatment where no approved coating is required.
Allowable approval statement
MPI Approved C 25 (All animal product except dairy)

Mandatory label statements

N/a

C 26

- (1) This is permitted on food contact surfaces as a release agent or as an anti-rust film.
- (2) The amount used should be the minimum required to accomplish the technical effect.
- (3) The surface must be wiped or adequately drained after application so that no free substance remains that could be transferred to food being processed.
- (4) You can support that you meet these requirements with an R1 approval from NSF.

C 26 – Note: Baths used in the anti-rust treatment of skids and gambrels should be kept clean as accumulations of fat and/or moisture in the baths can reduce the effectiveness of the treatment.

Requirements

- This approval is limited to release agents and anti-rust films on food contact surfaces, e.g. skids and gambrels;
- The composition of this compound must be at least equivalent to Title 21 Part 178 of the United States Code of Federal Regulations (CFR). This includes the use of substances generally recognised as safe (GRAS) for use in food and substances used in accordance with the provisions of a prior sanction or approval (in CFR 21);
- The directions for use must very clearly comply with the conditions of C 26 approval including that the treated surface must be wiped or adequately drained after application so that no free substance remains, ventilation of volatile solvents / diluents are present and compounds containing solvents must not be applied in food areas; and
- Where compounds are specifically intended to prevent rust on skids and gambrels, a treated sample must be included with the application.

Allowable approval statement

MPI Approved C 26 (All animal product except dairy)

Mandatory label statements

N/a

C 27

- (1) This compound may be used for washing stock prior to slaughter.
- (2) The compound is to be used according to the manufacturer's directions with a concentration not exceeding that recommended by the manufacturer.
- (3) Stock must be washed in clean water after application and before slaughter.

Requirements

- Each compound will be assessed on its own merit.

Allowable approval statement

MPI Approved C 27 (All animal product except dairy)

Mandatory label statements

The directions for use must include a statement that stock must be washed in clean water after application and before slaughter.

C 28

- (1) This compound may be used for washing stock prior to slaughter.
- (2) The compound is to be used according to the manufacturer's directions with a concentration not exceeding that recommended by the manufacturer.
- (3) There is no requirement to rinse stock after application and before slaughter provided moisture cannot be expressed when the wool at the opening-up areas is firmly squeezed.
- (4) When used in all other circumstances, stock must be washed in clean water after application and before slaughter.

Requirements

- Each compound will be assessed on its own merit.

Allowable approval statement

MPI Approved C 28 (All animal product except dairy)

Mandatory label statements

N/a

Hierarchy of Approval – Surface Treatments

Approval code	Lesser approval codes imbedded within that approval
C 26	C 22
C 23	C 25

4.5.4 Cleaners**C 31**

- (1) This may be used in all areas.
- (2) Before use, all edible product and packaging material must be removed from the room.
- (3) After using this compound, food contact surfaces must be thoroughly rinsed with potable water before production starts.
- (4) You can support that you meet these requirements with an A1, A2, A3 or A8 approval from NSF.

C 31 – Note: Cleaner sanitisers are categorised as C 31 or C 38. This does not prevent the compound from being used to clean hard surfaces and then being used again to employ the sanitising attributes. When an operator uses a compound in this manner the recommended procedures must be validated to ensure adequate sanitation is achieved.

Requirements
<ul style="list-style-type: none"> • This compound must not contain pumice, any perfume, citrus extracts, isomers of dichlorobenzene, pine oil, essential oil extracts or any other similar strong odorous compounds; • If the compound is in the form of a hard granular powder, the solubility of the product should be determined; • Applications for any enzyme-based compound must include evidence to substantiate that the compound does not contain any viable pathogenic organisms that may affect the suitability for processing of animal material or the fitness for intended purpose of animal product; and • It is unacceptable for the directions for use to refer to direct application onto animal material or product.
Allowable approval statement
MPI Approved C 31 (All animal product except dairy)
Mandatory label statements
<p>The directions for use must include a statement that food surfaces must be thoroughly rinsed with potable water before production starts (or words to that effect).</p> <p>Note: this also applies to 'Spray and Wipe' type cleaners.</p>

C 32

- (1) This may not be used in edible areas.
- (2) The perfume must not be used to mask the odours of unsanitary conditions.
- (3) This compound must be used in a manner that prevents the perfume invading any edible area.

Requirements
<ul style="list-style-type: none"> • As for C 31 with the addition that the excluded compounds may be used; and • The directions for use must not give an indication that use in edible areas is acceptable.
Allowable approval statement
MPI Approved C 32 (All animal product except dairy)
Mandatory label statements
N/a

C 33

- (1) This may only be used as a laundry compound.
- (2) If treated fabric directly or indirectly comes in contact with edible product, a thorough rinse with potable water must follow the laundering process.
- (3) You can support that you meet these requirements with a B1, B2 or BX approval from NSF.

Requirements
<ul style="list-style-type: none"> • The approval is limited to laundry compounds; • If treated fabric directly or indirectly comes into contact with edible product the directions for use, especially with regard to hand washing, must state that a thorough rinse with potable water follows the laundering process; and

<ul style="list-style-type: none"> In all cases, the final operation of the laundry cycle must be a potable water rinse sufficient to remove all added substances from the laundered fabric.
Allowable approval statement
MPI Approved C 33 (All animal product except dairy)
Mandatory label statements
N/a

C 34

- (1) This compound may only be used in non-food areas.
- (2) All equipment and utensils treated with this compound must be thoroughly cleaned, then rinsed with potable water before being returned to edible areas.

Requirements
<ul style="list-style-type: none"> Each compound will be assessed on its own merit; and Note: this is an infrequently used approved code.
Allowable approval statement
MPI Approved C 34 (All animal product except dairy)
Mandatory label statements
N/a

C 35

- (1) This may be used as a window cleaner in all areas.
- (2) No edible product is permitted in the room during cleaning.
- (3) Incidental contamination of food contact surfaces must be thoroughly cleaned, then rinsed with potable water.

Requirements
<ul style="list-style-type: none"> This approval is limited to window cleaners; The compound must be either ammonia or alcohol based; and This compound must not contain any perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds.
Allowable approval statement
MPI Approved C 35 (All animal product except dairy)
Mandatory label statements
N/a

C 36

- (1) This may not be used in areas where edible products are processed or stored.

Requirements
<ul style="list-style-type: none"> • Each compound will be assessed on its own merit; and • This compound does not meet the requirements for any other C 30 series approval.
Allowable approval statement
MPI Approved C 36 (All animal product except dairy)
Mandatory label statements
N/a

C 37

- (1) General purpose detergent which is not for use on food contact surfaces but may be used on floors and walls.
- (2) Before using this compound in food areas, all product and packaging material must be removed from the room.
- (3) Accidental spillage onto food contact surfaces must be thoroughly washed off with potable water.
- (4) You can support that you meet these requirements with an A4 or A5 approval from NSF.

Requirements
<ul style="list-style-type: none"> • As for C 31 with the exception that the directions for use only relate to the removal of heavy soil from non-food contact surfaces such as vehicles, floors and walls.
Allowable approval statement
MPI Approved C 37 (All animal product except dairy)
Mandatory label statements
N/a

C 38

- (1) This may be used for cleaning processing rooms provided the room can be divided into distinct areas, no edible product or packaging material is present in the area during cleaning and there can be no possibility of contamination of product or packaging material as a result of cleaning.
- (2) As a general cleaner in all other instances, all edible product and packaging material must be removed from the room before cleaning starts.
- (3) After using this compound, food contact surfaces must be thoroughly rinsed with potable water before production starts.
- (4) You can support that you meet these requirements with an A1, A2, A3 or A8 approval from NSF.

C 38 – Note: Cleaner sanitisers are categories as C 31 or C 38. This does not prevent the compound from being used to clean hard surfaces and then being used again to employ the sanitising attributes. When an operator uses a compound in this manner the recommended procedures must be validated to ensure adequate sanitation is achieved.

Requirements
<ul style="list-style-type: none"> As for C 31 with the addition that the directions for use must outline how it can be used for cleaning large processing rooms provided the room can be divided into distinct areas. No edible product or packaging material may be present in the area during cleaning and there can be no possibility of contamination of product or packaging material as a result of cleaning.
Allowable approval statement
MPI Approved C 38 (All animal product except dairy)
Mandatory label statements
<p>Food surfaces must be thoroughly rinsed with potable water before production starts (or words to that effect).</p> <p>Where the allowable approval statement is used, the directions for use must include a statement that it can be used for cleaning areas within a large processing room provided the room can be divided into distinct areas. No edible product or packaging material may be present in the area during cleaning and there can be no possibility of contamination of product or packaging material as a result of cleaning.</p>

C 39

- (1) This may be used for cleaning and disinfection of poultry facilities and equipment used in the production and processing of eggs for human consumption.
- (2) The compound must be used according to the manufacturer's directions.
- (3) Poultry and eggs must not be present in the area at the time when cleaning and disinfection is carried out.

Requirements
<ul style="list-style-type: none"> Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 39 (All animal product except dairy)
Mandatory label statements
Where the allowable approval statement is used, the directions for use must include a statement that poultry and eggs must not be present in the area at the time when cleaning and disinfection is carried out.

Hierarchy of Approvals – Cleaners

Approval code	Lesser approval codes imbedded within that approval
C 38	C 31, C 32, C34
C 31	C 32, C 34

4.5.5 Sanitisers**C 41**

- (1) This may be used in all areas.
- (2) Before use, all edible product and packaging material must be removed from the room or carefully protected.

- (3) After use, surfaces must be thoroughly rinsed with potable water before production starts.
- (4) This compound must always be used at dilutions recommended by the manufacturer.
- (5) You can support that you meet these requirements with a D1 approval from NSF.

Requirements
<ul style="list-style-type: none"> • The compound must have a sanitising ability; • The compound must not contain any perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds; • If the compound is in the form of a hard granular powder, the solubility of the product should be determined; and • It is unacceptable for the directions for use to refer to direct application onto animal material or product.
Allowable approval statement
MPI Approved C 41 (All animal product except dairy)
Mandatory label statements
Directions for use must include a statement that food surfaces must be thoroughly rinsed with potable water before production starts (or words to that effect).

C 42

- (1) Before use, all edible product and packaging material must be removed from the room.
- (2) This may be used on clean hard surfaces, a rinse with potable water is not required.
- (3) Food contact surfaces must be thoroughly drained to minimise residues.
- (4) On non-food surfaces, such as floors, walls and ceilings, higher concentrations may be required to control microbial growth. This is allowed provided there is no risk of contamination of the edible product.
- (5) Where these conditions cannot be met a potable water rinse is required.
- (6) This compound must always be used at the dilutions recommended by the manufacturer.

Requirements
<ul style="list-style-type: none"> • Each compound will be assessed on its own merit; and • Must not leave any detectable residues.
Allowable approval statement
MPI Approved C 42 (All animal product except dairy)
Mandatory label statements
N/a

C 42 – Note: This approval code is not currently used as there are issues with regard to the general use of a non-rinse sanitiser.

C 43

- (1) This may be used as a no-rinse sanitiser on clean hard surfaces in approved or registered premises which are restricted only to the processing of fish.

- (2) Before use, all edible product and packaging material must be removed from the room or carefully protected.
- (3) After use, a rinse with potable water is not required but food contact surfaces must be thoroughly drained to minimise residues.
- (4) When used as a sanitiser in other approved or registered premises, surfaces must be thoroughly rinsed with potable water before production starts.
- (5) This compound must always be used at the dilutions recommended by the manufacturer.
- (6) You can support that you meet these requirements with a D2 approval from NSF.

Requirements
<ul style="list-style-type: none"> • The compound must have a sanitising ability; • This compound must conform to the compositional requirements of Title 21 Part 178.1010 of the United States Code of Federal Regulations (CFR). This includes the use of substances generally recognised as safe for use in food and substances used in accordance with the provisions of a prior sanction or approval (in CFR 21); and • Under a C 43 approval it is unacceptable for the directions for use to refer to direct application onto animal material or product. Any indication of this type of use would need to include reference to the Australia New Zealand Food Standards Code.
Allowable approval statement
MPI Approved C 43 (All animal product except dairy)
Mandatory label statements
Directions for use must include a statement that, in the case of operators that only process fish, food contact surfaces must be thoroughly drained to minimise residues and must not imply that it can be used as a non-rinse sanitiser by any other operators.

C 44

- (1) This may be used in all areas.
- (2) This may be used for sanitising quality control equipment where the equipment is allowed to dry prior to contacting product.
- (3) It must be used at a sufficient distance from all edible product and packaging material so that there can be no possibility of contamination of product or packaging material as a result of use.
- (4) When used as a sanitiser in other circumstances, surfaces must be thoroughly rinsed with potable water before production starts.

Requirements
<ul style="list-style-type: none"> • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 44 (All animal product except dairy)
Mandatory label statements
N/a

Hierarchy of Approvals – Sanitisers

Approval code	Lesser approval codes imbedded within that approval
C 43	C 41

Note: Currently no compounds have been approved for use in accordance with C 42 criteria.

4.5.6 Topical preparations

C 51

- (1) This may be used as a hand washing and sanitising compound in all areas.
- (2) After using this compound, hands must be thoroughly rinsed with potable water.
- (3) There must be no odour left on the hands.
- (4) You can support that you meet these requirements with an E1 or E2 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to liquid hand washing and sanitising compounds; • The compound must have a sanitising ability; • The compound can not contain any perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds; and • The directions for use must include reference to a thorough rinse with running potable water after use.
Allowable approval statement
MPI Approved C 51 (All animal product except dairy)
Mandatory label statements
N/a

C 52

- (1) This may be used as a barrier cream, dermatological cream, hand cleaner or body wash.
- (2) This compound cannot be used by persons employed in slaughter or handling edible product at any stage during dressing or processing.
- (3) This compound is not permitted in slaughter, dressing or processing areas.
- (4) You can support that you meet these requirements with an E4 approval from NSF.

Requirements
<ul style="list-style-type: none"> • As for C 56 with the addition that excluded compounds may be used; and • The directions for use must not give any indication that use in edible areas is acceptable.
Allowable approval statement
MPI Approved C 52 (All animal product except dairy)
Mandatory label statements
N/a

C 53

- (1) Barrier cream restricted to use by operators whose hands are constantly in water and are employed in the preparation of casings.
- (2) The compound must be supplied from a fixed dispenser located in locker rooms or amenities.
- (3) After applying this product the hands must be washed before entering the processing area.

Requirements
<ul style="list-style-type: none"> • This approval is limited to barrier creams that are substantially lanolin based; • The compound must not contain any perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds; and • Evidence as to the standards of purity of the ingredients and pesticide assays for lanolin used in the formulation must be provided.
Allowable approval statement
MPI Approved C 53 (All animal product except dairy)
Mandatory label statements
The directions for use must include a statement that after application hands must be washed before entering the processing area, its use is limited to operators whose hands are constantly in water and are employed in the preparation of casings, and its supply must be limited to a fixed dispenser located in locker rooms or amenities.

C 54

- (1) This may be used as a hand sanitising compound in all areas.
- (2) The compound must be supplied from a fixed, metered dispenser located a sufficient distance from the processing line to prevent product contamination.
- (3) Before the sanitiser is applied, hands must be clean. This includes washing the hands where necessary.
- (4) After use, the hands need not be rinsed but must be dry before the operator returns to the processing line.
- (5) You can support that you meet these requirements with an E3 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to hand sanitising compounds; • This compound must be substantially alcohol based; • Its use must not leave any detectable water soluble residual on hands after use. The presence of moisturisers or amine compounds is not acceptable for this reason; and • Cellosolve is acceptable.
Allowable approval statement
MPI Approved C 54 (All animal product except dairy)
Mandatory label statements
Where the allowable approval statement is used, the directions for use must include a statement that hands must be clean before the sanitiser is applied (this includes washing the hands where necessary) and its

supply must be limited to a fixed, metered dispenser located a sufficient distance from the processing line to prevent product contamination.

C 55

- (1) This may be used as a special purpose skin compound by personnel in edible areas provided the treated skin is fully protected by an impervious covering at all times during processing.
- (2) This compound must be supplied from a fixed dispenser located in locker rooms or amenities.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used by persons experiencing rashes due to hands being in gloves for significant periods of time; and • The directions for use must very clearly comply with the conditions of C 55 approval including the need for the compound to be supplied from a fixed dispenser located in locker rooms.
Allowable approval statement
MPI Approved C 55 (All animal product except dairy)
Mandatory label statements
The directions for use must include a statement that treated skin must be fully protected by an impervious covering at all times during processing and its supply must be limited to a fixed dispenser located in locker rooms or amenities.

C 56

- (1) This may be used as a hand washing compound in all areas.
- (2) After using this compound, hands must be thoroughly rinsed with potable water.
- (3) There must be no odour left on the hands.
- (4) You can support that you meet these requirements with an E1 approval from NSF.

Requirements
<ul style="list-style-type: none"> • As for C 51 with the exception that the compound is not required to have any sanitising ability; and • The presence of polymer beads is acceptable.
Allowable approval statement
MPI Approved C 56 (All animal product except dairy)
Mandatory label statements
N/a

Hierarchy of Approvals – Topical Preparations

Approval code	Lesser approval codes imbedded within that approval
C 51	C 56, C 52
C 56	C 52

4.5.7 Water treatment products

C 61

- (1) This is permitted in potable water.
- (2) The final concentration of the active element, at the point of use, must conform to the limits stated in directives on potable water.
- (3) You can support that you meet these requirements with a G1, G2, G3 or G4 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used in potable water; • This compound must conform to the requirements of Title 40 of the United States Code of Federal Regulations (CFR). This includes the use of substances generally recognised as safe for use in food and substances used in accordance with the provisions of a prior sanction or approval (in CFRs) • Note: Assessment of these compounds does not relate to compliance with the New Zealand Drinking Water Standards 2000.
Allowable approval statement
MPI Approved C 61 (All animal product except dairy)
Mandatory label statements
N/a

C 62

- (1) This compound may be used for treating boilers, steam lines and/or cooling systems.
- (2) Treated water must not be used in edible areas, or used in a manner that could contaminate edible product or stock drinking water.
- (3) Steam produced from treated water must not be used in edible processes or come in contact with edible product.
- (4) You can support that you meet these requirements with a G7 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used for treating boilers, steam lines and/or cooling systems where neither the treated water or any steam produced from treated water is used in edible areas, or in such a manner that could contaminate edible product; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 62 (All animal product except dairy)
Mandatory label statements
N/a

C 63

- (1) This compound may be used for treating boilers and steam lines where the steam produced may contact edible product.

- (2) You can support that you meet these requirements with a G6 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used for treating boilers and steam lines where the steam produced may contact edible product; • This compound must conform to the compositional requirements of Title 21 Part 173.310 of the United States Code of Federal Regulations (CFR); and • Note: Assessment of these compounds does not relate to compliance with the New Zealand Drinking Water Standards 2000.
Allowable approval statement
MPI Approved C 63 (All animal product except dairy)
Mandatory label statements

C 64

- (1) This compound may be used for treating sewer or drain lines in any area.
- (2) Before use in edible areas, all edible products must be removed from the room and the drains must be thoroughly flushed before edible operations re-commence.
- (3) Solids recovered from waste water may not be used in the production of animal foods.
- (4) You can support that you meet these requirements with a L1, L2 or LX approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used for treating sewer or drain lines in any area; and • Where the compound is enzyme-based, evidence must be provided to substantiate that the compound does not contain any viable organisms that may affect animal material or product.
Allowable approval statement
MPI Approved C 64 (All animal product except dairy)
Mandatory label statements
Where the allowable approval statement is used, the directions for use must include a statement that before use animal material and product must be removed from the room and the drains must be thoroughly flushed before re-commencing production.

C 66

- (1) This wastewater treatment compound may be used in effluent treatment and waste streams where solid wastes are processed through solids recovery systems, with recovered solids being incorporated into rendering processes.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used in effluent treatment and waste streams where solid wastes are processed through solids recovery systems for use in animal feeds; and • Each compound will be assessed on its own merit.
Allowable approval statement

MPI Approved C 66 (All animal product except dairy)
Mandatory label statements
N/a

Hierarchy of Approvals – Water Treatment Products

Approval code	Lesser approval codes imbedded within that approval
C 63	C 62

4.5.8 Packaging materials

C 81

- (1) This material may be used in contact with edible product.
- (2) The amount used must not be greater than is required to accomplish the desired physical or technical effect.

Requirements
<ul style="list-style-type: none"> • Each compound will be assessed on its own merit; and • The directions for use must indicate that use must not be greater than is required to accomplish the desired physical or technical effect.
Allowable approval statement
MPI Approved C 81 (All animal product except dairy)
Mandatory label statements
N/a

C 82

- (1) This material is for packaging only and must not come in contact with edible products.

Requirements
<ul style="list-style-type: none"> • This compound is limited to the packaging only and must not come in contact with edible products. Note: the only compounds that are currently approved are packaging adhesives; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 82 (All animal product except dairy)
Mandatory label statements
N/a

C 83

- (1) This may be included with packaged meat as part of the packaging system.
- (2) The sachet must remain intact and none of the contents are to come in contact with meat.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds included with packaged meat as part of a packaging system, where the sachet must remain intact and none of the contents are to come in contact with the meat; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 83 (All animal product except dairy)
Mandatory label statements
N/a

4.5.9 Pesticides

All compounds are checked for compliance with the Agricultural Compounds and Veterinary Medicines Act 1997. If the compound is defined as an agricultural compound under the ACVM Act, it must be registered unless specifically exempted. Information confirming the status of the compound must be included with an application.

Type A

- (1) This insecticide may be used in all areas.
- (2) This insecticide may only be used in edible areas as a space spray provided that, before use, all edible products and packaging materials, except canned products, are removed from the room.
- (3) After using this insecticide the room must be adequately ventilated, and all food contact surfaces thoroughly washed with potable water before edible products and packaging material are returned to the room.
- (4) You can support that you meet these requirements with a F1 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to insecticides in the form of contact sprays, space sprays and aerosols; • The compound must not contain any perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds; • The compound must not have any residual killing activity; • The directions for use must very clearly comply with the conditions of Type A approval; • The following non-residual insecticides may be used: <ul style="list-style-type: none"> – Allethrins; – Lethanes; – Pyrethrins; – Pyrethrum extract; – Synthetic pyrethroids; • The following synergists, singly or in combination, may be used in conjunction with the above insecticides: <ul style="list-style-type: none"> – Piperonyl butoxide; – Piperonal bis[2-(butoxyethoxy)ethyl] acetal (Tropital); – N-Octyl bicycloheptane dicarboximide (MGK 264); – n-Propyl isomer; – Sulfoxide; and

<ul style="list-style-type: none"> • MPI will consider insecticides other than those listed above provided the appropriate Material Safety Data Sheets and any other relevant information on the constituents is included with the application.
Allowable approval statement
MPI Approved Type A (All animal product except dairy)
Mandatory label statements
The directions for use must include a statement that, when used as a space spray, all edible products and packaging materials, except canned products, must be removed from the room and after use the room must be adequately ventilated, and all food surfaces must be thoroughly washed with potable water before edible products and packaging material are returned to the room.

Type B

- (1) This insecticide is not permitted in any edible product area or any area where edible product or packaging material is stored.
- (2) It must be used in a manner that prevents the entry of the insecticide into edible product areas or stores containing packaging material.

Requirements
<ul style="list-style-type: none"> • This approval is limited to insecticides; • As for Type A with the addition that borax, boric acid, derris or cube root, silica aerogel, and sodium fluoride mixed with inert ingredients such as clay, sugar, or talc, may be used; • It is acceptable for the compound to contain perfume, citrus extracts, essential oil extracts or any other similar strong odorous compounds; • Granular or powdered insecticides, except those marketed exclusively in labelled dispenser containers, must be coloured a definite blue or green to distinguish them from food. Any dye or pigment which imparts a definite blue or green colour to the mixture may be used; • It is acceptable for the compound to have residual activity, i.e. kill insects over an extended period of time; and • The directions for use must not give any indication that use in edible areas is acceptable.
Allowable approval statement
MPI Approved Type B (All animal product except dairy)
Mandatory label statements
N/a

Type C

- (1) This pesticide may only be used in premises according to the requirements of a pest control programme which has been documented to relevant MPI legislation.
- (2) It may be used in food areas provided there is no exposed product or food ingredient present in the room.
- (3) It must be removed from edible areas before processing operations commence.

Requirements
<ul style="list-style-type: none"> • This approval is limited to the pesticides, including rodenticides and dry baits containing, dry inert materials such as grains, meals, or flours;

<ul style="list-style-type: none"> • All liquid baits, and dry baits in which the inert ingredients consist mainly of meal or flour, must be coloured a definite blue or green. Where inert ingredients consist mainly of whole or cracked grain, pressed into cakes or pellets, and clearly have the characteristics of bait, no addition of colour is necessary. This is to minimise the possibility of undetected contamination of food products; • The directions for use must not give any indication that use in: <ul style="list-style-type: none"> – edible areas when exposed product or food ingredients are present is acceptable; – dry salt cellars is acceptable. • Note: it may be used in food areas provided there is no exposed product or food ingredient present in the room and it is removed from edible areas before processing operations commence.
Allowable approval statement
MPI Approved Type C (All animal product except dairy)
Mandatory label statements
N/a

Type D

- (1) This pesticide must be used strictly in accordance with the special conditions contained in the approval letter.
- (2) The operator must have access to a copy of this letter of the product's individual approval criteria.
- (3) You can support that you meet these requirements with a F2 approval from NSF.

Type D – Note: Type D products are individually approved. As a result the approval criteria are unique to each approved product. These approval criteria are available on the MPI website at [Approved Maintenance Compound \(Non-Dairy\) - approval codes](#).

Requirements

- This approval is limited to the miscellaneous pesticides / pest control products, e.g. crack and crevice treatments;
- Where the compound contains a pesticide, the directions for use must not give any indication that use in edible areas when exposed product or food ingredients are present is acceptable;
- Where the compound does not contain a pesticide the directions for use may apply in edible areas provided that their use does not create a nuisance;
- Insecticides may be used for a single crack and crevice treatment in edible areas including product processing areas, warehouse areas where edible product, ingredients, and packaging material are stored in sealed containers; and non-processing areas provided that the following conditions are specified in the directions for use:
 - all exposed edible product and packaging material is removed, covered, or stored in closed containers;
 - do not indicate surface treatment such as at floor-wall junctions in rooms where use is restricted to crack and crevice treatment;
 - when used in non-processing offices, welfare areas, etc., insecticides must be used so that they will not be transferred to employees' clothing or other materials that may contact product;
 - after treatment, the areas must be adequately ventilated, and all food surfaces thoroughly washed with potable water before edible products and packaging material are returned to the room (to remove all traces of contamination);
 - treated cracks and crevices must be sealed with appropriate material within a reasonable period of time after treatment; and
 - advise verifier of use of compound.

Allowable approval statement
MPI Approved Type D (All animal product except dairy)
Mandatory label statements
N/a

Hierarchy of Approvals – Pesticides

Approval code	Lesser approval codes imbedded within that approval
Type A	Type B

4.5.10 Miscellaneous products

C 101

- (1) This must be used in accordance with the special conditions contained in the approval letter.
- (2) The operator must have access to a copy of this letter of the product's individual approval criteria.

C 101 – Note: C 101 products are individually approved. As a result the approval criteria are unique to each approved product. These approval criteria are available on the MPI website at [Approved Maintenance Compound \(Non-Dairy\) - approval codes](#).

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds that do not fit within another specific approval category; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 101 (All animal product except dairy)
Mandatory label statements
N/a

C 102

- (1) This compound must not be used in any area where edible products are processed, packaged or stored.
- (2) It may be used in external areas and areas where products not intended for human or animal consumption are processed, packaged or stored.
- (3) The compound must not be used to mask the odours of unsanitary conditions.
- (4) Compounds must be used in a manner that prevents any perfume invading an edible area.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds such as air fresheners, deodorisers etc.; • The directions for use must not give any indication that: <ul style="list-style-type: none"> – it may be used to mask the odours of unsanitary conditions; or – its use in edible areas is acceptable; and

<ul style="list-style-type: none"> • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 102 (All animal product except dairy)
Mandatory label statements
N/a

C 103

- (1) This compound may be used for washing whole shell eggs.
- (2) The compound must be used according to the manufacturer's directions.
- (3) Where eggs are intended for sale in the whole shell the eggs must be subject to a warm water rinse after the cleaning step. This rinse may either be with potable water or a C 104 approved sanitiser solution.
- (4) You can support that you meet these requirements with a Q1 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used for washing whole shell eggs; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 103 (All animal product except dairy)
Mandatory label statements
N/a

C 104

- (1) This compound may be used for sanitising whole shell eggs.
- (2) The compound must be used according to the manufacturer's directions.
- (3) You can support that you meet these requirements with a Q3, Q4 or Q6 approval from NSF.

Requirements
<ul style="list-style-type: none"> • This approval is limited to compounds used for sanitising whole shell eggs; and • Each compound will be assessed on its own merit.
Allowable approval statement
MPI Approved C 104 (All animal product except dairy)
Mandatory label statements
N/a

5 Part B: Guidance – General

5.1 Approvals

5.1.1 Introduction

When a compound is approved a letter confirming this will be issued. The approval remains valid for 5-years following the date of issue of the approval letter, unless revoked by MPI, or surrendered by the approval holder.

As part of this manual, a database of all individually approved maintenance compounds is maintained. An approved compound will appear in this database within 3 working days of being approved. If an operator wishes to use a compound prior to that occurring they must hold a copy of the letter of approval.

In addition, the database may also be updated during the year due to compounds being voluntarily withdrawn or the failure to comply with the general conditions in the letter of approval.

A revision of all listings will take place annually. This involves deleting expired approvals that chemical manufacturers have chosen not to re-approve.

5.1.2 Letter of Approval

Letters of approval will be issued with the following general conditions:

- This compound is approved for use in premises processing all animal product except dairy, operating under the Animal Products Act regime.
- This approval is under the following regulations and notices, subject to the conditions stated in this approval:
 - Regulation 11(4)(b) of the Animal Products Regulations 2000 and Regulation 18(4)(b) of the Animal Products (Regulated Control Scheme – Limited Processing Fishing Vessels) Regulations 2001;
 - Clause 4(1) of the Animal Products (Specifications for Limited Processing Fishing Vessels) Notice 2005, clause 1.2(1) of the Animal Products Notice: Specifications for Products Intended for Human Consumption, clause 1.2(1) of the Animal Products Notice: Specifications for Products Intended for Animal Consumption;
- <special conditions relative to the product will be inserted here>;
- This approval may be withdrawn at any time due to unapproved directions for use, or unsatisfactory performance, or any change in product formulation. The Approvals Operations Group must be notified if the holder of this approval wishes to transfer their products to another entity;
- The product must be used in accordance with the manufacturer's instructions and specifications. The label may include a statement to the effect that the product is approved for use in premises registered or approved under the Animal Products Act regime. Any statement made, however, must include the approval code and must be:

MPI Approved <code> (All animal product except dairy);

- This approval must not be used as a Ministry for Primary Industries (MPI) endorsement of any claim for the product by the manufacturer;
- This approval will remain valid until <date will be inserted here, 5-years following the date of issue of the approval letter> unless the approval is revoked by notice in writing at an earlier stage.

5.1.3 Permitted Approval Statement

Manufacturers are permitted to include a statement on the label to the effect that the product is approved for use by operators processing non-dairy animal products who are operating under the Animal Products Act regime. Any statement made, however, must include the approval code and must be limited to the following unless otherwise specified in the approval:

- MPI Approved <code> (All animal product except dairy); or
- Ministry for Primary Industries Approved <code> (All animal product except dairy).

The label statement must be acceptable to MPI and must not be misleading. If for any reason the approval becomes void any labels with an approval statement can no longer be used without removing or covering the approval statement, otherwise all label stocks must be destroyed. Stocks of product which exist after the date of withdrawal of the approval and which contain label statements to the effect that a product has MPI approval, may not be sold to any person without removing or covering the approval statement.

5.1.4 Re-Approval of Approved Maintenance Compounds

Maintenance compound approvals remain valid until 5-years following the date of issue of the approval letter. Re-approval is the responsibility of the approval holder. However, MPI will endeavour to issue a reminder letter notifying that compounds are due for re-approval. Failure to re-approve a compound will result in removal of the compound from the list of approved maintenance compounds.

Further information on re-approving maintenance compounds is provided in Part C.

5.1.5 Non-Compliance with Approval

Maintenance compound approvals are issued based on the formulation provided by the applicant being true and accurate.

Where an operator has a suspicion that a maintenance compound does not comply with its approval due to its formulation, labelling etc. the Approvals Operations Group should be advised. When MPI become aware of a suspicion or allegation that a maintenance compound does not comply due to its formulation, labelling etc. they will investigate and the approval may be withdrawn or other regulatory action may be taken.

6 Part C: Additional Guidance – Specifically for Applicants

6.1 Chemical Approval Systems in New Zealand

6.1.1 Animal Products Act – Non-Dairy Processing

This manual, and the associated approval system, only applies to maintenance compounds used by operators processing non-dairy animal products who are operating under the Animal Products Act (APA) regime.

6.1.2 Animal Products Act – Dairy Processing

The only operators processing dairy animal products, under the APA, who are required to use approved maintenance compounds are farm dairies. A list of approved maintenance compounds, is available at [Registers & Lists - Dairy Products](#).

All other operators processing dairy animal products, under the APA, are not required to use approved maintenance compounds. These operators must determine that the maintenance compounds and their intended use will not adversely affect the suitability for processing of animal material, or fitness for intended purpose of the animal product. Some operators voluntarily elect to use AsureQuality reviews of maintenance compounds to assist with determining the acceptability of compounds. For further information on these reviews contact AsureQuality. It should be noted that MPI farm dairies approvals are underpinned by these reviews but they do not have any legal standing in their own right.

6.1.3 Food Act

Operators processing food, under the Food Act, are not required to use approved maintenance compounds / chemicals. These operators must determine that the maintenance compounds / chemicals that they use will not adversely affect the food being processed. Some operators voluntarily elect to use APA (Non-dairy) approvals to assist with determining the acceptability of compounds.

6.2 Compounds that Require Specific Approval

6.2.1 Application Procedure for Gaining Chemical Approval

When a compound requires a specific approval, an individual compound specific approval is required. The only exception to this is where compounds can be grouped on the basis that the ingredients remain the same for all compounds in that group and only the percentages vary, e.g. oils where the percentages of ingredients are altered to change the viscosity. In these cases one application can cover a group of compounds.

When one compound formulation is marketed under a number of product names or distributors, a separate application must be made for each individual product.

Refer to Figure 1 when submitting an application as the following are required to be supplied:

- Completed and signed application form;
- Product label (or a copy);
- Product information sheet, where the product label does not include directions for use;
- Assessment fee; and
- Sample, if requested by MPI.

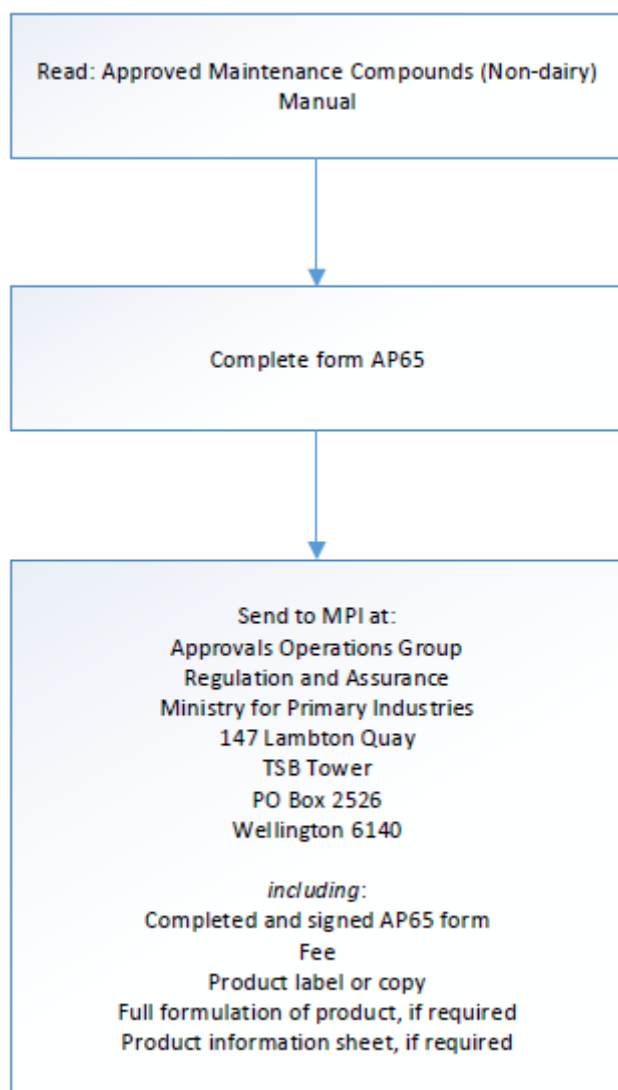


Figure 1. Flow diagram for submitting applications.

Application Form

The applicant, usually either a manufacturer or distributor, must submit an application on the [‘Application Form: Approval / Re-approval of Maintenance Compound \(Non-dairy\)’](#) form.

The following information is required to be provided on the form:

- Applicant’s details including an email address if possible;
- Product trade name as it appears, or will appear, on the label;

Note: this will be used on the approval letter and in the approval database.

- Product composition data for **each ingredient**, including the:
 - chemical name;
 - Chemical Abstracts Service (CAS) number;

- percentage in the complete formulation. The percentage provided must either be the exact figure or a small range. For example where a cleaner contains 20% sodium hydroxide the content may be shown as 18-22%. Providing broad ranges (e.g. <10%, 10 – 50%), as used on material safety data sheets (MSDS), is not acceptable;
- Function; and
- Colour Index Reference, in the case of dyes.

Note: the full formulation is required to enable the correct decision to be made and to ensure appropriate action is taken when a compound is used incorrectly or in the case of an adverse event.

- Description of the nature of the compound (e.g. cleaner, detergent, lubricant);

This description only provides an indication of the nature of the compound, it does not control how it may be used. MPI will assign a description, from a standardised list, which best reflects the nature of the compound;

- Approval code requested;

The approval code provides the conditions under which the compound may be used;

- Disinfectant for foot-and-mouth disease responses details, optional; and

Information relating to listing of disinfectants suitable for use in foot-and-mouth disease emergencies may be included in the application provided the compounds meet the criteria in Section 6.4 of this part;

- Declaration.

The declaration **must** be signed.

Product Label (or a copy)

This must include precautionary statements for the safe handling and storage of the compound.

Previously, samples were required and when commercial samples (e.g. grease cartridges, aerosol cans) were supplied the label on the sample was sufficient to meet this requirement. As samples are no longer required, except where specifically requested by MPI, a product label must be supplied.

Product Information Sheet

A product information sheet **must** be submitted with the application if the product label does not include adequate directions as to how the compound should be used.

Assessment Fee

The prescribed fee, as indicated on the application form, must accompany the application.

Sample

Samples are not normally required to be supplied with applications.

MPI reserves the right to request that a sample be submitted to assist in the assessment of the application. Where a sample is requested, and unless otherwise instructed, a 200 ml or 200 g securely stopped and wrapped sample will need to be supplied. The sender and content details (e.g. an external packing slip) must be clearly visible on the outside of the packaging.

Where a sample is considered necessary to assist in the assessment of the application but the nature of the compound prevents its supply, MPI will consider alternative means in consultation with the applicant.

Submitting the Application

The completed and signed application form along with the:

- Product label (or a copy);
- Product information sheet, where the product label does not include directions;
- Assessment fee; and
- Sample, if requested by MPI;

Must be sent to:

Approved Maintenance Compounds (Non-Dairy)
C/o Approvals Operations Group
New Zealand Food Safety
Ministry for Primary Industries
147 Lambton Quay
TSB Tower
PO Box 2526
Wellington 6140

6.2.2 Electronic Applications

Applications may be submitted electronically to approvals@mpi.govt.nz. All the required information, as per standard applications, must be supplied when making the application, including payment of the assessment fee.

6.2.3 Trial Approvals

MPI reserves the right to grant trial approvals. These approvals are issued on an infrequent basis and generally when an applicant has a new maintenance compound that they wish to trial in a processing environment to confirm its efficacy etc. Conditions that are generally imposed include directions for use, limitation to a small number of processing facilities and a limited time approval. The assessment fee, if any, is determined on a case-by-case basis.

6.2.4 Compounds with same Formulations / Groups of similar Compounds

Compounds are individually approved except where compounds can be grouped on the basis that the ingredients remain the same for all compounds in that group and only the percentages vary, e.g. oils where the percentages of ingredients are altered to change the viscosity. In these cases one application can cover the group of compounds.

When one compound formulation is marketed under a number of product names or distributors, a separate application must be made for each individual product.

6.2.5 Permitted Approval Statement

Approval holders are permitted to include a statement on the label to the effect that the product is approved for use in premises processing all animal products except dairy, operating under the Animal Products Act regime. Any statement made, however, must include the approval code and must be limited to the following options unless otherwise specified in the approval:

- MPI Approved <code> (All animal product except dairy); or

- Ministry for Primary Industries Approved <code> (All animal product except dairy).

The label statement must be acceptable to MPI and must not be misleading. If for any reason the approval becomes void, any labels with an approval statement can no longer be used without removing or covering the approval statement, otherwise all label stocks must be destroyed. Stocks of product which exist after the date of withdrawal of the approval and which contain label statements to the effect that a product has MPI approval may not be sold to any person without removing or covering the approval statement.

6.2.6 Confidentiality

MPI regards all information supplied in respect of any application for compound approval in confidence. No information will be supplied to any other person or company without the written authority of the supplier of the information. In the event of any change of ownership of companies or franchises the vendor must notify MPI of the change and authorise any disclosures of information relevant to the transaction.

6.2.7 Application Process

Assessment Timeframes

MPI aims to process new applications within 10 working days of receipt and re-approvals within 20 working days of receipt, where all the necessary information is supplied. This does not include any time spent waiting for a response from an applicant where clarification has been requested.

Where information (e.g. confidential formulation is to be supplied separately by a third party) the applicant must ensure this information is provided promptly and indicate, at the time of submitting the application, when this information will be provided. It is the applicant's responsibility to ensure this timeframe is met. Failure to supply this information within the stated deadline will result in MPI declining the application.

Incomplete Applications

MPI will automatically decline incomplete applications except for cases where there is third party information (e.g. confidential formulation information) pending, as described above.

Deficient Applications

Where MPI receives a complete application but deficiencies are found during the assessment, the application may be automatically declined. However, dependent on the nature of the deficiencies MPI may send one request for further information to the applicant. This will have a specified timeframe by when this information must be provided. If the information is not provided in the specified timeframe, the application will be declined.

6.2.8 Letter of Approval

Once satisfied that the compound is suitable for approval the MPI will issue a letter of approval. This approval will then be added to the database of all individually approved maintenance compounds. Until this occurs the approval letter may be used as evidence that the compound is approved.

The approval remains valid until 5-years following the date of issue of the approval letter.

6.2.9 Re-Approval of Approved Maintenance Chemicals

Re-approval is the responsibility of the approval holder. However, MPI will endeavour to issue a reminder letter notifying that compounds are due for revalidation.

The applicant must submit an application on the '[Application Form: Approval / Re-approval of Maintenance Compound \(Non-dairy\)](#)' form by the date stated in the letter of notification. Failure to do so will result in removal of the compound from the list of approved maintenance compounds.

The notification letter will be sent to the named company and to the address listed in MPI records. MPI accepts no responsibility to verify proprietorship of products where this is different from MPI records.

The procedure for re-approval approved maintenance compounds is as described above for initial applications with the exception of a reduced fee. For a compound to continue to appear as a foot-and-mouth disease disinfectant, this section must be completed. This is necessary to ensure that the information published in the foot-and-mouth disease list is as current as possible.

6.2.10 Changes to be notified

Changes to the approved compound name or the approval holder are to be notified to the Approvals Operations Group. This notification must include a copy of the updated label, where relevant, and confirmation that there has been no change to the formulation. Where the existing product and trade name is to remain approved, a new approval is required for the new compound.

Changes to the approved compound formulation are also required to be notified to the Approvals Operations Group. In this case, the formulation will need to be assessed to ensure that it is still appropriate for the current approval code. MPI reserves the right to charge the applicant for this assessment.

Failure to give notice of changes will void the approval.

6.2.11 Advertising

The approval must not be used as a Ministry for Primary Industries (MPI) endorsement of any claim made for the product by the manufacturer.

6.3 Disinfectants for Foot-and-Mouth Disease Response

6.3.1 Introduction

Diseases, such as Foot-and-Mouth Disease (FMD), pose a huge risk to New Zealand's agricultural sector.

MPI operate a number of surveillance and response programmes to address this risk. One of these programmes is under the Animal Products Act regime. MPI requires all export animal product operators, except poultry, egg, seafood, and bee products operators, to implement and maintain an operational risk organism response plan (RORP). In this situation a 'risk organism' is defined as an organism either already present in, or new to, New Zealand that poses a potential biosecurity risk.

Within each operator's RORP there must be a description of decontamination procedures including, cleaning and sanitising. Depending on the disease involved different specific chemical materials may be required.

6.3.2 Disinfectants Acceptable for FMD Responses

Disinfectants are used to inactivate the FMD virus to prevent the spread of the disease to animals. FMD virus is extremely sensitive to changes in pH and the most effective disinfectants are those with a pH below 3 (acidic) or above 11 (alkaline).

To assist operators to know what disinfectants are acceptable for foot-and-mouth disease responses MPI maintains a list of compounds, which achieve the pH requirements mentioned above. These compounds,

when used at the dilutions indicated, are expected to be suitable for cleaning and disinfection in the event of a foot-and-mouth disease emergency being declared.

This list is based on information that is voluntarily supplied by chemical manufacturers when they apply for maintenance compound (non-dairy) approval. As a result this list will not be complete, and there will be other approved maintenance compounds that achieve the pH requirements. In addition, there may be other disinfectants that can inactivate the FMD virus by means other than pH shift.

6.3.3 Disinfectants Acceptable for FMD Responses Listed by Manufacturer

Approved maintenance compounds are categorised as either an:

- **acidic disinfectant** suitable for a wide range of surfaces without the risk of corrosion;
- **alkaline disinfectant (non-corrosive)** giving a degree of metal protection and being suitable for a wide range of surfaces without the risk of corrosion; or
- **alkaline disinfectants (corrosive)** suitable for drains and sewers and surfaces where corrosion would not be regarded as critical.

Note: the product label should give a general indication as to the suitability of the disinfectant for different types of surface.

Dilution

The final strength of the disinfectant, when mixed with water, must be a volume / volume percentage for fluid compounds or a weight / volume percentage for solid state compounds. The final strength must achieve a pH of 11 or greater for alkaline disinfectants, or pH 3 or less for acid disinfectants.

Stock Level

The quantity of disinfectant that could be available either:

- on call; or
- after 48 hours.

This information is intended to be used as a guide to the availability of a particular disinfectant should the need arise. It is appreciated that for some compounds large quantities can be manufactured within a short period of time whilst for others the replenishing of stocks will take longer. Stock levels may be listed under either or both of these headings.

6.4 Criteria for Disinfectants for Foot-and-Mouth Disease

6.4.1 Procedures for Obtaining Listing of Compounds

Manufacturers who consider that a currently approved compound will meet the requirements for disinfectants may request to have products recorded for that purpose.

The information required, in addition to that specified in the procedures for gaining compound approval or re-approval of approved compounds (see Section 6.4) is:

- specification;
- dilution rate; and
- stock level.

6.4.2 Obligations of Manufacturers

Foot-and-mouth disease would seriously impair New Zealand's ability to trade in agricultural products. The resumption of trade will depend on the efficiency and effectiveness of our ability to respond to an outbreak. Maintenance compounds recorded could form part of New Zealand's response to an emergency and it is essential that all information published in this list is valid. Any change in formulation, availability, manufacturer or distributor must be notified to:

Approved Maintenance Compounds (Non-Dairy)
c/o Approvals Group
New Zealand Food Safety
Ministry for Primary Industries
147 Lambton Quay
TSB Tower
PO Box 2526
Wellington 6140

Telephone: 04 894 2550

Email: approvals@mpi.govt.nz