New Zealand rules for participation in ERA-GAS Consortium

New Zealand participation must adhere to the following eligibility rules.

General criteria

- a) The Ministry for Primary Industries will only contract with New Zealand research providers.
- b) Pre-proposals must comply with the general eligibility criteria set by the ERA-GAS Consortium.
- c) All full applications requesting New Zealand funding under this call must contain a letter of support from MPI before submission. Full applications must be submitted to the New Zealand National Contact Point at the Ministry for Primary Industries by 5pm on 24 November 2016 to obtain this letter of support.

Funding and participation

- d) New Zealand funding is available to a maximum of €300,000 per project proposal.
- e) Any New Zealand funded contribution to a project must be completed by 30 June 2020.
- f) Preference will be given to those proposals that represent best value for money:
 - Are the proposed costs realistic and reasonable?
 - Do they represent the full costs of delivering the contract and the stated outcomes including knowledge transfer?
 - Project proposals that bring co-funding from countries that are not Partners of this call are encouraged.
- g) Pre-proposals must be led by an ERA-GAS Partner and must involve a minimum of three and maximum of eight Partners. New Zealand is <u>not</u> classed as a Partner.
- h) Proposals seeking funding from MPI must involve scientists from at least one other GRA member country. Partner countries can be counted.
- i) Up to 10%¹ of the New Zealand budget for each project proposal is able to be used to support scientists from countries that are not Partners of this call, provided the country is a developing country. Strong preference will be given to those project proposals that utilise this funding to support capability development.
- Project proposals that bring co-funding from countries that are not Partners of this call are encouraged.

Alignment with call topic

k) New Zealand will only fund projects in the following research themes of the call:

Theme 1: Improving national GHG inventories and monitoring, reporting and verification of emissions

Theme 2: Refining and facilitating the implementation of GHG mitigation technologies Theme 4: Assessment of policy and economic measures to support emissions reductions across the farm-to-fork and forest-to consumer chain.

- Preference will be given to proposals that demonstrate alignment with the activities of the GRA Research Groups, in particular the Livestock Research Group, and that complement and extend New Zealand's domestic research programme on GHG mitigation.
- m) New Zealand funding under this call will not be available for proposals that relate solely to forestry. Demonstration of new international collaborations is encouraged and will be considered positively.

¹ At its discretion, MPI may allow more than 10% of that project's budget to be used to support developing country participation. However, this must be discussed with MPI prior to submission of the pre-proposal.

Intellectual Property

- n) All intellectual property² (IP) arising from projects supported by this call will be managed through an IP Plan. The pre-proposal should indicate in general how IP will be managed, with a full IP Plan developed at the contracting phase. This plan must outline in detail how IP (including background IP, and IP developed in the course of carrying out a project) will be managed.
- o) New Zealand's general principles in relation to the management of IP are set out below. When required, specific terms for the ownership and use of IP will be negotiated by MPI at the contracting phase in good faith consistent with these principles:
 - i. IP should be dealt with in a manner that ensures maximum benefit for both New Zealand and globally.
 - ii. Unless there are strong reasons to withhold, protect, or commercialise IP developed in the course of carrying out a project, then it should be made public and freely available.
 - iii. Achieving maximum benefit to both New Zealand and globally has a greater priority for New Zealand than achieving commercial returns on its research investment.
 - iv. New Zealand will advise if international obligations and national security matters that require the Project IP to maintain confidential.

Other

- p) It is expected that New Zealand research providers that are contracted by New Zealand under this call, will accept the standard terms and conditions that New Zealand has set. These standard terms and conditions can be obtained by contacting the New Zealand National Contact Point. Submission of pre-proposals and full-proposals from New Zealand research providers to this call is deemed an acceptance of these terms and conditions.
- q) New Zealand research providers that are part of successful proposals are expected to have a signed project Consortium Agreement at least addressing the following topics:
 - Internal organisation and management of the consortium
 - o Intellectual Property arrangements
 - Liability
 - Settlement of internal disputes
 - o to complete a project dissemination plan
 - to prepare popular science summaries of the project contents for ERA-GAS activities and publications (e.g. for brochures, [digital] newsletters, the website etc)
 - to take part in, and contribute with project presentations and/or posters to the foreseen events of ERA-GAS
 - to prepare mid-term and final reports according to a standardised template and to respond to two in-depth monitoring surveys (mid-term and final) to measure project progress and contribution to overall ERA-GAS aims
 - o to participate in the initial, mid-term and final seminar.

² "Intellectual Property" means all statutory, common law and other proprietary rights in respect of data, information, patents, patent applications, inventions, designs, trademarks, business names, copyright works, know-how, trade secrets, plant varieties, layout designs, results, outcomes, conclusions, products, systems, genetic material, experimental methods, processes, databases, notes, drawings, records, memoranda and other writings, computer programmes (including source code), graphics and data (in whatever form or format), whether registerable or not in any country (including New Zealand).